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| 7. If a Continuing Application, check appropriate box and supply the requirement of the supply of the requirement of the supply of the supply of the requirement of the supply of the su | (CIP) of prior application No/ |
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- [X] A check for \$800.00 for the filing fee and recording the assignment is enclosed.
- [X] The Commissioner is hereby authorized to charge any other fee required, including the issue fee, in connection with the filing and prosecution of this application, and to the extent necessary, applicant(s) hereby petition for extension(s) of time under 37 CFR 1.136, to be charged to our Deposit Account 11-0345.

Respectfully submitted,

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Preparation of B-alkoxynitriles

Specification

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This invention relates to a process for preparing β -alkoxy-nitriles by reacting low molecular weight α,β -unsaturated nitriles, having up to 40 carbon atoms for example, with monohydric, dihydric or trihydric alcohols, each having a molar 10 mass of up to 2.5 \times 10³ g/mol for example, in the presence of basic catalysts at from -20 to +200°C.

The 1,4-addition of monohydric or polyhydric alcohols to α , β -unsaturated nitriles is a known reaction which is classified 15 as a Michael-type addition in J. March, Advanced Organic Chemistry, $3^{\rm rd}$ Ed., page 665, J. Wiley & Sons, 1985, because of the reaction mechanism.

As observed in H. A. Bruson, Organic Reactions, Vol. 5, Chapt. 2, 20 page 89, R. Adams (Ed.), J. Wiley, 1949, for example, this addition reaction usually requires a basic catalyst in order that satisfactory reaction rates may be obtained.

In many cases, the reaction mixtures comprising the 1,4-addition product are directly, without purification, converted into γ-alkoxyamines in a second process step by subsequent catalytic hydrogenation. Existing processes are surveyed, for example, in Houben-Weyl, Methoden der organischen Chemie, Volume 11/1, pages 341 et seq., 4th edition (1957).

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Since the 1,4-addition of alcohols to α,β -unsaturated nitriles to form β -alkoxynitriles is reversible, the reversal of the formation of β -alkoxynitrile must be avoided in any subsequent hydrogenation in the presence of the basic catalyst (cf. also:

- 35 H. A. Bruson, Organic Reactions, Vol. 5, page 90, para 3, lines 8-11). Removal prior to the hydrogenation step of the small amounts of basic catalysts used is uneconomical, and they therefore have to be neutralized with an acid. In any subsequent catalytic hydrogenation of the β-alkoxynitrile, the hydrogenation
- 40 catalyst must not be damaged by the 1,4-addition catalyst or its neutralized form.

Typical catalysts for the 1,4-addition of alcohols to α , β -unsaturated nitriles include, for example, the metals sodium 45 and potassium or their oxides, hydroxides, hydrides, cyanides and amides, as likewise observed in H. A. Bruson, Organic Reactions,

determine their activity.

Vol. 5, pages 81 and 89. The catalysts are customarily used in amounts of from 0.5 to 5% by weight, based on the alcohol.

W.P. Utermohlen, J. Am. Chem. Soc. 67, 1505-6, disclosed the use 5 of sodium methoxide as basic catalyst.

The use of alkali metals entails appreciable problems with the handling of these reactive catalysts. Furthermore, alkali metal hydrides, amides and alkoxides are highly moisture-sensitive and 10 industrially handleable only at great expense. And the chemical composition of these catalysts must be checked before use to

There has therefore been no shortage of attempts to find

15 catalysts which are simple to handle on an industrial scale and, at the same time, are sufficiently active to enable the 1,4-addition reaction to take place with very high space-time yields.

- 20 DE-A-20 61 804 discloses that, inter alia, organic secondary or tertiary amines, for example piperidine or triethylamine, are useful as basic catalyts for the 1,4-addition of β -thio or β -sulfoxide-substituted ethanols to α,β -unsaturated nitriles. However, secondary amines have only limited usefulness as
- 25 catalysts, since they actually react with $\alpha,\beta\text{-unsaturated}$ nitriles.

DE-A-35 22 906 discloses basic catalysts, including tertiary amines, for example triethylamine or pyridine, useful both for 30 the preparation of 2,2'-dicyanodiethyl ether (NC-(CH₂)₂-O-(CH₂)₂-CN) from acrylonitrile and water and for the

 $(NC-(CH_2)_2-O-(CH_2)_2-CN)$ from acrylonitrile and water and for the synthesis of β -alkoxynitriles from 2,2'-dicyanodiethyl ether and an alcohol.

35 US 2,333,782 discloses tributylamine as catalyst for the 1,4-addition of formaldehydecyanohydrin to acrylonitrile to form B-(cyanomethoxy)propionitrile.

Basic catalysts used for the 1,4-addition of alcohols to
40 α,β-unsaturated nitriles have frequently been quaternary
tetraalkylammonium hydroxides or solutions thereof, for example
benzyltrimethylammonium hydroxide (= Triton® B), for example
described in US 3,493,598 and W. P. Utermohlen, J. Am. Chem. Soc.
67, 1505-6 (1945), or tetrakis(2-hydroxyethyl)ammonium hydroxide,

45 described for example in DE-A-21 21 325 and DE-A-22 17 494.

As is common general knowledge, tetraalkylammonium hydroxides are thermally unstable, decomposing to form a trialkylamine, alkene and water (Hofmann elimination; see for example: R.T. Morrison and R.N. Boyd, Organic Chemistry, 6th Ed., 1992, page 854 bottom 5 to page 855 top).

Tetraalkylammonium hydroxides having from 1 to 4 B-hydroxy substituents are likewise thermally unstable, decomposing by intra- and/or intermolecular reactions (see for example:

10 A. R. Doumaux et al., J. Org. Chem. 38, 3630-2 (1973) and A. C. Cope et al. in 'Organic Reactions', Vol. 11, Chapter 5, Wiley, New York, 1960).

These catalysts and their solutions therefore have only limited 15 storage life, so that their chemical composition needs to be checked too before use to determine their activity.

Owing to their thermal lability, tetraalkylammonium hydroxides used as catalysts for the 1,4-addition of alcohols to

- 20 α , β -unsaturated nitriles at the customary reaction temperatures of from 35 to 140°C (H. A. Bruson, Organic Reactions, Vol. 5, Chapt. 2, pages 89, 90 and 93) frequently give poor yields of the 1,4-addition products. Another important disadvantage is the fact that a thermally partially decomposed catalyst or its solution
- 25 will cause a delay in the startup of the 1,4-addition reaction. This may cause the nitrile concentration in the reaction vessel in which the addition reaction is being carried out by addition of the α , β -unsaturated nitrile, for example acrylonitrile, to the alcohol will build up to a dangerously high level and, in the
- 30 extreme case, may lead to a markedly thermic polymerization of the $\alpha,\beta\text{-unsaturated}$ nitrile.

Further disadvantages of quaternary ammonium hydroxides are their inutility for the 1,4-addition of polyhydric alcohols to

- 35 α , β -unsaturated nitriles (see DE-A-22 17 494), the fact that the 1,4-addition products frequently exhibit an undesirable discoloration, and the need to neutralize them with an acid after the 1,4-addition reaction has taken place and to remove the resulting salt if the β -alkoxynitriles are to be subjected
- 40 directly to a catalytic hydrogenation to form γ -alkoxyamines (see DE-A-21 36 884).

It is an object of the present invention to provide an improved process for the 1,4-addition of monohydric, dihydric or trihydric

45 alcohols to a, B-unsaturated nitriles, which does not have the above-described disadvantages and which even makes it possible for the resulting reaction mixture of the 1,4-addition products

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to be converted directly in a second process step into γ -alkoxyamines by hydrogenation in the presence of a hydrogenation catalyst without there being a need for any prior removal or neutralization of the catalyst for the 1,4-addition.

We have found that this object is achieved by a process for preparing β -alkoxynitriles by reacting α,β -unsaturated nitriles, having from 3 to 40 carbon atoms for example, with monohydric, dihydric or trihydric alcohols, each having a molar mass of up to 2.5×10^3 g/mol for example, in the presence of basic catalysts at from -20 to +200°C, which comprises using a diazabicyclo-alkene catalyst of the formula I

where from 1 to 4 hydrogen atoms may be independently replaced by 20 the radicals \mathbb{R}^1 to \mathbb{R}^4 ,

in which case R^1 , R^2 , R^3 , R^4 are each C_{1-20} -alkyl, C_{6-20} -aryl or C_{7-20} -arylalkyl, and

25 n and m are each an integer from 1 to 6.

The radicals R^1 , R^2 , R^3 and R^4 independently have the following meanings:

30 - C₁₋₂₀-alkyl, such as methyl, ethyl, n-propyl, n-butyl,
 isobutyl, tert-butyl, n-pentyl, isopentyl, cyclopentyl,
 cyclopentylmethyl, n-hexyl, isohexyl, cyclohexyl, n-heptyl,
 isoheptyl, cyclohexylmethyl, n-octyl, isooctyl, n-nonyl,
 n-decyl, n-undecyl, n-dodecyl, n-tridecyl, n-tetradecyl,
 n-pentadecyl,

preferably C_1 - to C_8 -alkyl, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, n-pentyl, isopentyl, sec-pentyl, neopentyl, 1,2-dimethyl-propyl, n-hexyl, isohexyl, sec-hexyl, cyclohexyl, cyclohexylmethyl, n-heptyl, isoheptyl, cyclohexylmethyl, n-octyl, isooctyl,

particularly preferably C_1 - to C_4 -alkyl, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl and tert-butyl,

- C₆₋₂₀-aryl, such as phenyl, 1-naphthyl, 2-naphthyl, 1-anthryl, 2-anthryl, 9-anthryl preferably phenyl, 1-naphthyl, 2-naphthyl, particularly preferably phenyl,
- 5 C₇₋₂₀-arylalkyl, preferably C₇₋₁₂-phenylalkyl, such as benzyl, 1-phenethyl, 2-phenethyl, 1-phenylpropyl, 2-phenylpropyl, 3-phenylpropyl, 1-phenylbutyl, 2-phenylbutyl, 3-phenylbutyl and 4-phenylbutyl, particularly preferably benzyl.
- 10 The aforementioned radicals may bear substituents that are inert under the reaction conditions, such as one or more alkyl radicals, e.g., methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl.
- 15 The indices n and m in the formula I are independently integers from 1 to 6. Preferably, both n and m are integers from 1 to 3. Particularly preferably, n is 1, 2 or 3 and m is 2.

Examples of useful catalysts of the formula I are:

20

- 1,5-diazabicyclo[4.3.0]non-5-ene (DBN), 1,8-diazabicyclo-[5.4.0]undec-7-ene (DBU), 1,6-diazabicyclo[5.5.0]dodec-6-ene, 1,7-diazabicyclo[6.5.0]tridec-7-ene, 1,8-diazabicyclo-[7.4.0]tridec-8-ene, 1,8-diazabicyclo[7.5.0]tetradec-8-ene,
- 25 1,5-diazabicyclo[4.4.0]dec-5-ene (DBD), 1,8-diazabicyclo[5.3.0]dec-7-ene, 1,10-diazabicyclo[7.3.0]dodec-9-ene,
 1,10-diazabicyclo[7.4.0]tridec-9-ene, 2-methyl-1,5-diazabicyclo[4.3.0]non-5-ene, 3-methyl-1,5-diazabicyclo[4.3.0]non-5-ene, 7-methyl-1,5-diazabicyclo[4.3.0]non-5-ene,
- 7-benzyl-1,5-diazabicyclo[4.3.0]non-5-ene, 11-methyl-1,8-diazabicyclo[5.4.0]undec-7-ene, 10-methyl-1,8-diazabicyclo[5.4.0]undec-7-ene, 6-methyl-1,8-diazabicyclo[5.4.0]undec-7-ene,
 6-benzyl-1,8-diazabicyclo[5.4.0]undec-7-ene, 2-methyl-1,5-diazabicyclo[4.4.0]dec-5-ene, 3-methyl-1,5-diazabicyclo-
- 35 [4.4.0]dec-5-ene, 7-methyl-1,5-diazabicyclo[4.4.0]dec-5-ene, 7-benzyl-1,5-diazabicyclo[4.4.0]dec-5-ene.

Preference is given to DBN, DBD and DBU and particular preference to DBU and DBN, since these compounds are readily obtainable.

40

Similarly, mixtures of compounds of the formula I, for example a mixture of DBU and DBN, are useful as catalysts.

The catalysts of the formula I according to the invention, in

45 contrast to the prior art quaternary ammonium compound catalysts,
are also very useful for the complete conversion of all hydroxyl

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groups of di- or trihydric alcohols by 1,4-addition to α , β -unsaturated nitriles.

A further advantage of the process of the present invention is 5 that the 1,4-addition products, i.e., the ß-alkoxynitriles, exhibit significantly less discoloration than from using the prior art quaternary ammonium compound catalysts.

Diazabicycloalkenes of the formula I are preparable by various

10 methods. An example of a known method is the addition of
acrylonitrile to lactams to form cyanoethyllactams which are then
hydrogenated to aminopropyllactams and finally cyclized with
acid-catalyzed water elimination to form the diazabicycloalkenes
(H. Oediger et al., Synthesis, 591-8 (1972); H. Oediger et al.,

15 Chem. Ber. 99, 2012-16 (1966).; L. Xing-Quan, J. Nat. Gas Chem.
4, 119-27(1995)).

Prior German patent application 19752935.6 describes a process for preparing diazabicycloalkenes by reaction of lactones with 20 diamines with water elimination.

Since diazabicycloalkenes of the formula I are strong bases which, owing to their low nucleophilicity with respect to common tertiary amines such as triethylamine or N,N-dimethylaniline, for example, occupy a special position, they are used in a whole series of organic reactions, for example in hydrogen halide elimination reactions (see above-cited references).

The excellent activity of diazabicycloalkenes of the formula I as 30 catalysts for the 1,4-addition of alcohols to α,β-unsaturated nitriles is surprising because it was known from JP-A-5-25201/93 (Example 1) that the reaction of already 85% cyanoethylated pullulan with acrylonitrile (ACN) requires an extremely high excess of about 30 mol of ACN per mole of hydroxyl group, a huge 6% by weight of the catalyst 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) and also a long reaction time of ten days.

Pullulan is a D-maltotriose polymer having a molar mass within the range from 5 x 10⁴ to 2 x 10⁶ g/mol (Lit.: A. Jeanes in 40 'Extracellular Microbial Polysaccharides' (P.A. Sandford and A. Laskin, Ed.), pages 288, 289 and 292, Am. Chem. Soc., Washington, DC (1977)).

The process of the present invention can be carried out as 45 follows:

For a batchwise procedure, the alcohol is charged to a reaction vessel under atmospheric or superatmospheric pressure together with the catalyst of the formula I, optionally dissolved in an inert solvent, and the α,β -unsaturated nitrile is metered in.

5 Examples of suitable reaction vessels include stirred reactors and stirred-tank batteries.

A continuous process is carried out using, for example, stirredtank, loop or tubular reactors, or a combination thereof,

10 optionally each at different temperatures, into which the two reactants and the catalyst of the formula I are transported.

A continuous procedure is preferable from the safety aspect.

- 15 The high activity of the catalysts of the formula I shows that there is no delay before the reaction starts up with the evolution of heat and the formation of the β -alkoxynitriles, thus keeping the concentration of α,β -unsaturated nitrile in the reaction vessel very low, since it immediately reacts with the
- 20 alcohol. This is, on the one hand, beneficial for the selectivity of the 1,4-addition reaction and, on the other avoids the above-described safety risks due to polymerizations of unconverted α , β -unsaturated nitrile.
- 25 The catalyst is advantageously used in an amount of from 0.05 to 5% by weight, based on the alcohol. Lower quantities require longer reaction times or higher reaction temperatures; larger quantities are less interesting for economic reasons. The catalysts of the present invention are notable for high activity 30 and hence may also be used in small amounts of from 0.05 to 3%,
- preferably from 0.05 to 2%, particularly preferably from 0.1 to 1.5%, by weight, based on the alcohol.

The two starting materials, the alcohol and the α , β -unsaturated 35 nitrile, are customarily used in a molar ratio such that the molar ratio of hydroxyl group to be reacted to α , β -unsaturated nitrile is within the range from 1:0.5 to 1:10, preferably within the range from 1:0.8 to 1:2, particularly preferably within the range from 1:0.9 to 1:1.2. If less than 1 mol of α , β -unsaturated

- 40 nitrile is used per mole of hydroxyl group to be reacted, incomplete conversion of this hydroxyl group is obtained and, after the reaction has ended, virtually all the free α , β -unsaturated nitrile has gone, which can have safety advantages. True, a molar excess of α , β -unsaturated nitrile based
- 45 on hydroxyl groups present will bring about complete conversion of the alcohol, but entails problems with the workup of the

reaction effluents, since these will still include usually toxic, unconverted α , β -unsaturated nitriles.

The catalysts of the formula I according to the present invention 5 are additionally notable for bringing about complete alcohol conversion even at molar ratios of hydroxyl group to α , β -unsaturated nitrile within the range from 1:1 to 1:1.1, whereas less suitable addition catalysts require a larger molar excess of α , β -unsaturated nitrile.

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If dihydric or trihydric alcohols are to be added to α , β -unsaturated mitriles, the reaction conditions may be controlled in such a way, for example through choice of the molar ratio of alcohol to α , β -unsaturated nitrile, that mono-, bis- or 15 tris-1,4-addition products are obtained. For instance, diethylene qlycol can be reacted with one mole equivalent of acrylonitrile to obtain the product having the formula HO-(CH2)2-O-(CH2)2-O-(CH₂)₂-CN.

20 Examples of inert solvents suitable for the reaction are ethers, such as tetrahydrofuran (THF), methyl tert-butyl ether (MTBE), or 1,4-dioxane, or N-methylpyrrolidone (NMP), N,N-dimethylformamide (DMF), dimethyl sulfoxide (DMSO), sulfolane, preferably THF or NMP. Mixtures of suitable solvents can also be used.

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In many cases, as in the illustrative reaction embodiments, the reaction can be carried out without a solvent being present.

The reaction temperature depends on the reactivity of the alcohol **30** and α , β -unsaturated nitrile used, the melting points and the volatility of the starting materials. Suitable reaction temperatures are generally within the range from -20 to +200°C, the reaction being carried out at superatmospheric pressure in certain circumstances. Preference is given to reaction 35 temperatures from 0 to 150°C, particularly preferably from 25 to

100°C.

If the reaction is carried out in the absence of a solvent, the reaction temperature is set sufficiently high for the alcohol 40 used to be present in liquid form.

The (absolute) reaction pressure is generally within the range from 0.05 to 2 MPa, preferably from 0.09 to 1 MPa, particularly preferably atmospheric pressure.

According to the invention, the reaction of the alcohols with the α,β-unsaturated nitriles is generally carried out with residence times of from 15 min to 10 h. Preference is given to residence times of from 1 to 5 h, particularly preferably from 1 to 3 h.

5 The higher the reaction temperature chosen, the shorter in general the residence time required.

The process of the present invention is useful, for example, for preparing ß-alkoxynitriles of the formula II

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where independently

R⁵, R⁶, R⁷ are each hydrogen, unsubstituted or halogen-, cyano-, $C_{1-30}-alkoxy-, C_{6-30}-aryloxy-, C_{2-3}-alkenyloxy- and/or$ $C_{2-20}-dialkylamino-substituted C_{1-30}-alkyl,$ $C_{2-30}-alkenyl, C_{3-12}-cycloalkyl, C_{5-12}-cycloalkenyl,$ $C_{6-20}-aryl, C_{3-15}-hetaryl, C_{7-20}-arylalkyl, C_{8-20}-aryl-alkenyl, C_{4-20}-hetarylalkyl, C_{7-20}-alkylaryl,$ $C_{4-20}-alkylhetaryl, Y-(CH_2)_a-NR^9-,$

 R^5 and R^6 are jointly $(CH_2)_a-X-(CH_2)_b$ or jointly a halogen-, cyano-, C_{1-30} -alkoxy-, C_{6-30} -aryloxy-, C_{2-3} -alkenyloxy- and/or C_{2-20} -dialkylamino-substituted C_{4-8} -alkylene chain,

 $\rm R^5$ and $\rm R^7$ are jointly (CH₂)_a-X-(CH₂)_b or jointly a halogen-, cyano-, C₁₋₃₀-alkoxy-, C₆₋₃₀-aryloxy-, C₂₋₃-alkenyloxy-and/or C₂₋₂₀-dialkylamino-substituted C₄₋₈-alkylene chain,

X is CH_2 , CHR^9 , O or NR^9 , R9 is C_{1-4} -alkyl, C_{6-20} -aryl, C_{7-20} -alkylaryl, C_{7-20} -arylalkyl, is C_{3-30} -dialkylaminoalkyl,

40 Y is C_{3-30} -dialkylaminoalkyl, a, b are each an integer from 1 to 4,

the radicals R^5 , R^6 and R^7 together having up to 37 carbon atoms, for example,

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x is an integer from 1 to 3,

| | 10 |
|----------------|---|
| R ⁸ | is for $x = 1$: |
| | unsubstituted or halogen-, cyano-, hydroxyl-, |
| | C_{1-30} -alkoxy-, C_{6-30} -aryloxy-, C_{2-3} -alkenyloxy- and/or |
| | C_{2-20} -dialkylamino-substituted C_{1-200} -alkyl, |
| 5 | C_{3-200} -alkenyl, C_{3-200} -alkynyl, C_{3-12} -cycloalkyl, |
| • | C_{5-12} -cycloalkenyl, C_{6-20} -aryl, C_{3-15} -hetaryl, C_{7-20} -aryl- |
| | alkyl, C_{8-20} -arylalkenyl, C_{4-20} -hetarylalkyl, |
| | C_{7-20} -alkylaryl, C_{4-20} -alkylhetaryl or |
| | |
| | $Y-(CH_2)_a-NR^9-(CH_2)_{b+1}-$, $C_nH_{2n+1}-(NR^9-C_1H_{21})_p-NR^9-C_qH_{2q}-$, |
| 10 | alkoxyalkyl of the type $C_nH_{2n+1}-O-C_mH_{2m}-$, aryloxyalkyl |
| | of the type $Ar-O-C_mH_{2m}-$, where Ar is C_{6-20} -aryl, |
| | polyaTkoxyalkyl of the type |
| | $C_nH_{2n+1}-O-C_mH_{2m}-(O-C_1H_{21})_p-O-C_qH_{2q}-,$ |
| | 1 1 2 20 |
| 15 m, n, 1, q | are each an integer from 1 to 20, |
| | is an integer from 0 to 50 |
| р | is an integer from 0 to 50, |
| R ⁸ | is for $x = 2$: |
| 20 | an unsubstituted or halogen-, cyano-, hydroxyl-, |
| 20 | C ₁₋₃₀ -alkoxy-, C ₆₋₃₀ -aryloxy-, C ₂₋₃ -alkenyloxy- and/or |
| | |
| | C ₂₋₂₀ -dialkylamino-substituted C ₂₋₂₀₀ -alkane, |
| | C_{4-200} -alkene, C_{4-200} -alkyne, C_{4-12} -cycloalkane, |
| | C_{5-12} -cycloalkene, C_{6-20} -aromatic, C_{3-15} -hetaromatic, |
| 25 | C_{7-20} -alkylaromatic, C_{8-20} -alkenylaromatic having |
| | respectively two free valences or |
| | $-C_nH_{2n}-(NR^9-C_1H_{21})_p-NR^9-C_qH_{2q}-,$ |
| | polyoxypolyalkylene of the type $C_nH_{2n}-O-C_mH_{2m}-(O-C_1H_{21})_p$ |
| | -O-C _q H _{2q} -, |
| 30 | |
| R ⁸ | is for $x = 3$: |
| | an unsubstituted or halogen-, cyano-, C ₁₋₃₀ -alkoxy-, |
| | C_{6-30} -aryloxy-, C_{2-3} -alkenyloxy- and/or |
| | C ₂₋₂₀ -dialkylamino-substituted C ₃₋₂₀₀ -alkane, |
| 35 | C_{5-200} -alkene, C_{5-12} -cycloalkane, C_{5-12} -cycloalkene, |
| | C_{6-20} -aromatic, C_{7-20} -alkylaromatic, having respectively |
| | three free valences or, |
| | $-C_nH_{2n-1}-(NR^9-C_1H_{21})_p-NR^9-C_qH_{2q}-$ |
| | polyoxypolyalkylene of the type $-C_nH_{2n-1}-O-C_mH_{2m}-$ |
| 40 | $(O-C_1H_{21})_p-O-C_qH_{2q}-,$ |
| | (I5I,bd5d) |

by reaction of $\alpha,\beta\text{-unsaturated}$ nitriles having, for example, from 3 to 40 carbon atoms of the formula III

$$R^6$$
 CN R^5 R^7 (III)

with monohydric, dihydric or trihydric alcohols, for example having a molar mass of up to $2.5\,\times\,10^3$ g/mol, of the formula IV

The radicals R^5 , R^6 , R^7 , R^8 , R^9 and the designations X, Y, a, b, x, m, n, l, q and p in the compounds of the formulae II, III and IV independently have the following meanings:

 R^5 , R^6 and R^7 :

identical or different,

20

hydrogen,

- C₁₋₃₀-alkyl, preferably C₁₋₂₀-alkyl, more preferably C₁₋₁₂-alkyl, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, n-pentyl, isopentyl, sec-pentyl, neopentyl, 1,2-dimethylpropyl, n-hexyl, isohexyl, sec-hexyl, cyclopropylmethyl, n-heptyl, isoheptyl, cyclohexylmethyl, n-octyl, 2-ethyl-hexyl, n-nonyl, isononyl, n-decyl, isodecyl, n-undecyl, n-dodecyl and isododecyl, particularly preferably C₁₋₄-alkyl, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl-, tert-butyl,
- C₂₋₃₀-alkenyl, preferably C₂₋₂₀-alkenyl, more preferably C₂₋₁₂-alkenyl, such as ethenyl, 2-propen-1-yl, 2-propen-2-yl, 2-buten-1-yl, 2-buten-2-yl, 3-buten-1-yl, 3-buten-2-yl, 2-penten-1-yl, 4-penten-1-yl, 2-hexen-1-yl, 5-hexen-1-yl,
- C₃₋₁₂-cycloalkyl, preferably C₃₋₈-cycloalkyl, such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl and cyclooctyl, more preferably cyclopentyl, cyclohexyl and cyclooctyl, particularly preferably cyclopentyl and cyclohexyl,

- C_{5-12} -cycloalkenyl, preferably C_{5-8} -cycloalkenyl, such as 1-cyclopentenyl, 3-cyclopentenyl, 1-cyclohexenyl, 3-cyclohexenyl, 1-cycloheptenyl and 1-cyclooctenyl,
- 5 C_{6-20} -aryl, such as phenyl, 1-naphthyl, 2-naphthyl, 1-anthryl, 2-anthryl, 9-anthryl more preferably phenyl, 1-naphthyl, 2-naphthyl, particularly preferably phenyl,
- C₃₋₁₅-hetaryl, such as 2-pyridinyl, 3-pyridinyl, 4-pyridinyl,
 pyrazinyl, pyrrol-3-yl, imidazol-2-yl, 2-furanyl and
 3-furanyl,
- C₇₋₂₀-arylalkyl, more preferably C₇₋₁₂-phenylalkyl, such as benzyl, 1-phenethyl, 2-phenethyl, 4-tert-butylphenylmethyl,
 1-phenylpropyl, 2-phenylpropyl, 3-phenylpropyl, 1-phenylbutyl, butyl, 2-phenylbutyl, 3-phenylbutyl and 4-phenylbutyl, particularly preferably benzyl, 1-phenethyl and 2-phenethyl,
- C_{8-20} -arylalkenyl, more preferably C_{8-12} -phenylalkenyl, such as 1-phenethenyl, 2-phenethenyl, 3-phenyl-1-propen-2-yl and 3-phenyl-1-propen-1-yl,
 - C₄₋₂₀-hetarylalkyl, such as pyrid-2-ylmethyl, furan-2-ylmethyl, pyrrol-3-ylmethyl and imidazol-2-ylmethyl,

- C₇₋₂₀-alkylaryl, more preferably C₇₋₁₂-alkylphenyl, such as 2-methylphenyl, 3-methylphenyl, 4-methylphenyl, 2,4-dimethylphenyl, 2,5-dimethylphenyl, 2,6-dimethylphenyl, 3,4-dimethylphenyl, 3,5-dimethylphenyl, 2,3,4-trimethylphenyl, 2,3,5-trimethylphenyl, 2,3,6-trimethylphenyl, 2,4,6-trimethylphenyl,
- methylphenyl, 2,3,6-trimethylphenyl, 2,4,6-trimethylphenyl, 2-ethylphenyl, 3-ethylphenyl, 4-ethylphenyl, 2-isopropylphenyl, 3-isopropylphenyl, 4-isopropylphenyl and 4-tert-butylphenyl,
- 35 C_{4-20} -alkylhetaryl, such as 2-methyl-3-pyridinyl, 4-methyl-imidazol-2-yl, 4,5-dimethylimidazol-2-yl, 3-methyl-2-furanyl and 5-methyl-2-pyrazinyl,
- $Y-(CH_2)_a-NR^9-$, where Y, a and R^9 are each as defined below, 40 such as $(CH_3)_2N-(CH_2)_2-NCH_3-$, $(CH_3)_2N-(CH_2)_3-NCH_3-$, $(CH_3)_2N-(CH_2)_4-NCH_3-$,

 R^5 and R^6 :

```
together (CH<sub>2</sub>)<sub>a</sub>-X-(CH<sub>2</sub>)<sub>b</sub>, where a, b and X are each as defined
        below, such as -(CH_2)_3-, -(CH_2)_4-, -(CH_2)_5-, -(CH_2)_6-,
        -(CH_2)_2-O-(CH_2)_2-, -CH_2-O-(CH_2)_2-, -CH_2-CHCH_3-(CH_2)_2-,
        -(CH_2)_2-NCH_3-(CH_2)_2-, -(CH_2)_2-N(CH_2Ph)-(CH_2)_2-,
 5
   R^5 and R^7:
        together (CH<sub>2</sub>)<sub>a</sub>-X-(CH<sub>2</sub>)<sub>b</sub>, where a, b and X are each as defined
        below, such as -(CH_2)_3-, -(CH_2)_4-, -(CH_2)_5-, -(CH_2)_6-,
10
        -(CH_2)_2-O-(CH_2)_2-, -CH_2-O-(CH_2)_2-, -CH_2-O-CH_2-,
        -CH_2-CHCH_3-(CH_2)_2-, -(CH_2)_2-NCH_3-(CH_2)_2-,
        -(CH_2)_2-N(CH_2Ph)-(CH_2)_2-
   \mathbb{R}^9:
15
        C<sub>1-4</sub>-alkyl, such as methyl, ethyl, n-propyl, isopropyl,
        n-butyl, isobutyl, sec-butyl, tert-butyl,
        C_{6-20}-aryl as defined above,
        C_{7-20}-alkylaryl as defined above, or
20 -
        C_{7-20}-arylalkyl as defined above,
   X:
        CH_2, CHR^9, O or NR^9,
25
   Y:
        C_{3-30}-dialkylaminoalkyl, preferably C_{3-20}-dialkylaminoalkyl,
        particularly preferably C3-12-dialkylaminoalkyl, such as
30
        dimethylaminomethyl, diethylaminomethyl, diisopropylamino-
        methyl, di-n-propylaminomethyl, 2-dimethylaminoethyl,
        2-diethylaminoethyl, 2-di-n-propylaminoethyl and 2-di-iso-
        propylaminoethyl, (R^9)_2N-(CH_2)_a,
35 a, b:
        independently,
        an integer from 1 to 4, preferably 1, 2 or 3,
40 the radicals R^5, R^6 and R^7 together having up to 37 carbon atoms,
   for example,
   x:
45 -
        an integer from 1 to 3, preferably 1 or 2,
```

R8:

for x = 1:

- 5 C_{1-200} -alkyl, preferably C_{1-20} -alkyl, more preferably C_{1-12} -alkyl, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, n-pentyl, isopentyl, sec-pentyl, neo-pentyl, 2-methyl-but-2-yl, 1,2-dimethylpropyl, n-hexyl, isohexyl, sec-hexyl,
- cyclopentylmethyl, n-heptyl, isoheptyl, cyclohexylmethyl, n-octyl, 2-ethylhexyl, n-nonyl, isononyl, n-decyl, isodecyl, n-undecyl, n-dodecyl and isododecyl, particularly preferably C₁₋₈-alkyl, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, 2-ethylhexyl, and
- also more preferably C_{40-200} -alkyl, such as polybutyl, polyisobutyl, polyisopropyl and polyethyl, polybutylmethyl, polyisobutylmethyl, polypropylmethyl, polyisopropylmethyl and polyethylmethyl,
- 20 C_{3-200} -alkenyl, preferably C_{3-20} -alkenyl, more preferably C_{3-12} -alkenyl, such as 2-propen-1-yl, 2-buten-1-yl, 3-buten-1-yl, 1-buten-3-yl, 1-buten-3-methyl-3-yl, 2-penten-1-yl, 4-penten-1-yl, 2-hexen-1-yl, 5-hexen-1-yl,
- 25 C_{3-200} -alkynyl, preferably C_{3-20} -alkynyl, more preferably C_{3-12} -alkynyl, such as 2-propyn-1-yl, 2-butyn-1-yl, 3-butyn-1-yl, 1-butyn-3-yl, 1-butyn-3-methyl-3-yl, 2-pentyn-1-yl, 4-pentyn-1-yl, 2-hexyn-1-yl, 5-hexyn-1-yl, 1-pentyn-3-methyl-3-yl, 1-octyn-4-ethyl-3-yl,

30

- C₃₋₁₂-cycloalkyl, as defined above,
- C₅₋₁₂-cycloalkenyl, as defined above,
- C_{6-20} -aryl, as defined above,
- C₃₋₁₅-hetaryl, as defined above,
- 35 C_{7-20} -arylalkyl, as defined above,
- C₈₋₂₀-arylalkenyl, as defined above,
 - C₄₋₂₀-hetarylalkyl, as defined above,
 - C₇₋₂₀-alkylaryl, as defined above,
 - C₄₋₂₀-alkylhetaryl, as defined above,

40

- $Y-(CH_2)_a-NR^9-(CH_2)_{b+1}-$, where Y, R^9 , a and b are each as defined above, such as $(CH_3)_2N-(CH_2)_2-NCH_3-(CH_2)_2-$, $(CH_3)_2N-(CH_2)_3-NCH_3-(CH_2)_3-$,

```
- C_nH_{2n+1}-(NR^9-C_1H_{21})_p-NR^9-C_qH_{2q}-, where R^9 is as defined above and n, l, p and q are each as defined below, such as CH_3(CH_2)_2-(NCH_3-(CH_2)_2)_5-NCH_3-(CH_2)_3-, CH_3-(NCH_3-(CH_2)_2)_5-NCH_3-(CH_2)_2-,
```

- alkoxyalkyl of the type $C_nH_{2n+1}-O-C_mH_{2m}-$, where n and m are each as defined below, such as $CH_3OCH_2CH_2-$, $C_2H_5OCH_2CH_2-$, $CH_3O(CH_2)_4-$,
- 10 aryloxyalkyl of the type $Ar-O-C_mH_{2m}-$, where Ar is $C_{6-20}-aryl$ as defined above and m is as defined below, such as $C_6H_5OCH_2CH_2-$, $C_6H_5O(CH_2)_4-$,
- polyalkoxyalkyl of the type $C_nH_{2n+1}-O-C_mH_{2m}-(O-C_1H_{21})_p-O-C_qH_{2q}-$, where n, m, l, p and q are each as defined below, such as $CH_3OCH_2CH_2(OCH_2CHCH_3)_3OCH_2CH_2-$, $CH_3OCH_2CH_2(OCH_2CH_2)_3OCH_2CH_2-$,

m, n, 1, q:

20 - independently

- an integer from 1 to 20, preferably from 1 to 10,

p:

25 - an integer from 0 to 50, preferably from 0 to 10,

R8:

for x = 2:

- a C₂₋₂₀₀-alkane, preferably a C₂₋₂₀-alkane, more preferably a C₂₋₁₂-alkane, having respectively 2 free valences, such as ethane-1,2-diyl, n-propane-1,2-diyl, n-propane-1,3-diyl, n-butane-1,2-diyl, n-butane-1,4-diyl,
- n-butane-2,3-diyl, 2-methylpropane-1,3-diyl, n-pentane-1,2-diyl, n-pentane-1,5-diyl, 2-methylbutane-1,4-diyl, 2,2-dimethylpropane-1,3-diyl, 1,2-dimethylpropane-1,3-diyl, n-hexane-1,2-diyl, n-hexane-1,6-diyl, n-hexane-2,5-diyl, n-heptane-1,2-diyl, n-heptane-1,7-diyl, n-octane-1,2-diyl,
- n-octane-1,8-diyl, 2,2,4-trimethylpentane-1,3-diyl, n-nonane-1,2-diyl, n-decane-1,2-diyl, n-decane-1,10-diyl, n-undecane-1,2-diyl, n-dodecane-1,2-diyl, particularly preferably a C2-4-alkane having respectively 2 free valences, such as ethane-1,2-diyl, n-propane-1,2-diyl, n-propane-1,3-diyl,
- n-butane-1,2-diyl, n-butane-1,4-diyl, 2,2-dimethylpropane-1,3-diyl, n-hexane-1,6-diyl, and also preferably a C40-200-alkane, such as polybutane, polyisobutane, poly-

propane, polyisopropane and polyethane, polybutylmethane, polyisobutylmethane, polypropylmethane, polyisopropylmethane and polyethylmethane having respectively 2 free valences,

- 5 a C₄₋₂₀₀-alkene, preferably a C₄₋₂₀-alkene, more preferably a
 C₄₋₁₂-alkene, having respectively 2 free valences, such as
 cis-2-butene-1,4-diyl, trans-2-butene-1,4-diyl, 3-butene1,2-diyl, 2-pentene-1,4-diyl, 4-pentene-1,2-diyl, 2-hexene1,6-diyl, 3-hexene-1,6-diyl, 3-hexene-2,5-diyl, 5-hexene1,2-yl, 2,5-dimethyl-3-hexene-2,5-diyl,
- a C₄₋₂₀₀-alkyne, preferably a C₄₋₂₀-alkyne, more preferably a C₄₋₁₂-alkyne, having respectively 2 free valences, such as 1-butyne-3,4-diyl, 2-butyne-1,4-diyl, 3-hexyne-1,6-diyl, 3-hexyne-2,5-diyl, 2,5-dimethyl-3-hexyne-2,5-diyl, 1-hexyne-5,6-diyl,
- a C₄₋₁₂-cycloalkane, preferably a C₄₋₈-cycloalkane, having respectively 2 free valences, such as cyclobutane-1,2-diyl, cyclopentane-1,3-diyl, cyclohexane-1,2-diyl, cyclohexane-1,2-diyl, cyclohexane-1,2-diyl, cyclohexane-1,4-diyl, cycloheptane-1,2-diyl and cyclooctane-1,2-diyl, more preferably cyclopentane-1,2-diyl, cyclohexane-1,2-diyl, cyclohexane-1,3-diyl, cyclohexane-1,4-diyl and cyclooctane-1,2-diyl, particularly preferably cyclopentane-1,2-diyl and cyclohexane-1,2-diyl,
- a C₅₋₁₂-cycloalkene, preferably a C₅₋₈-cycloalkene, having respectively 2 free valences, such as 1-cyclopentene 3,4-diyl, 1-cyclopentene-3,5-diyl, 1-cyclohexene-3,4-diyl, 1-cyclohexene-3,6-diyl, 1-cycloheptene-3,4-diyl, 1-cycloheptene-3,7-diyl and 1-cyclooctene-3,8-diyl,
- C₆₋₂₀-aromatic having respectively 2 free valences, such as benzene-1,2-diyl, benzene-1,3-diyl, benzene-1,4-diyl, naphthalene-1,2-diyl, naphthalene-1,4-diyl, naphthalene-1,5-diyl, naphthalene-1,8-diyl, anthracene-1,2-diyl, anthracene-1,3-diyl, anthracene-1,5-diyl,
- 40 C₃₋₁₅-hetaromatic having respectively 2 free valences, such as pyridine-2,3-diyl, pyridine-2,4-diyl, pyrazine-2,3-diyl, pyrrole-2,3-diyl, imidazole-4,5-diyl, furan-2,3-diyl and furan-3,4-diyl,

- C₇₋₂₀-alkylaromatic having respectively 2 free valences, such as benzyl-2-yl, benzyl-4-yl, 1-methylbenzene-3,4-diyl, 1-phenylpropane-2,3-diyl, 2-phenylpropane-1,3-diyl, 3-phenylbutane-1,2-diyl,

5

- C_{8-20} -alkenylaromatic having respectively 2 free valences, such as 1-vinylbenzene-3,4-diyl,
- $-C_nH_{2n}-(NR^9-C_1H_{21})_p-NR^9-C_qH_{2q}-$, where R^9 , n, 1, p and q are each as defined above, such as $-CH_2CH_2(N(CH_3)CH_2CH_2)_4-NCH_3-CH_2CH_2-$,
 - polyoxypolyalkylene of the type $-C_nH_{2n}-O-C_mH_{2m}-(O-C_1H_{21})_p-O-C_qH_{2q}-$, where n, m, l, p and q are each as defined above, such as, for example, $-(CH_2)_4-(O-CH_2CH_2CH_2CH_2)_{26}-$, $-CH_2CH_2(OCH_2CH_2)_2-$,

R8:

for x = 3:

20

15

- a C₃₋₂₀₀-alkane, preferably a C₂₋₂₀-alkane, more preferably a C₂₋₁₂-alkane, having respectively 3 free valences, such as n-propane-1,2,3-triyl, n-butane-1,2,3-triyl, n-butane-1,3,4-triyl, 2-methylpropane-1,2,3-triyl, n-pentane-1,2,3-triyl, n-pentane-1,2,3-triyl, n-pentane-1,2,3-triyl, n-pentane-1,2,3-triyl, n-pentane-1,4,5-triyl, 2-methylbutane-
- 1,2,3-triyl, n-pentane-1,4,5-triyl, 2-methylbutane1,3,4-triyl, 1,2-dimethylpropane-1,2,3-triyl, n-hexane1,2,3-triyl, n-hexane-1,2,6-triyl, n-hexane-2,3,5-triyl,
 n-heptane-1,2,3-triyl, n-heptane-1,6,7-triyl, n-octane1,2,3-triyl, n-octane-1,7,8-triyl, n-nonane-1,2,3-triyl,
- n-decane-1,2,3-triyl, n-decane-1,9,10-triyl, n-undecane-1,2,3-triyl, n-dodecane-1,2,3-triyl, particularly preferably a C₃₋₅-alkane having respectively 3 free valences, such as n-propane-1,2,3-triyl, n-butane-1,2,3-triyl, n-butane-1,3,4-triyl,

35

- a C_{5-200} -alkene, preferably a C_{5-20} -alkene, more preferably a C_{5-12} -alkene, having respectively 3 free valences, such as 2-pentene-1,4,5-triyl, 2-hexene-1,5,6-triyl,
- 40 a C₅₋₁₂-cycloalkane, preferably a C₅₋₈-cycloalkane, having
 respectively 3 free valences, such as cyclopentane1,2,3-triyl, cyclopentane-1,3,4-triyl, cyclohexane1,2,3-triyl, cyclohexane-1,3,4-triyl, cyclohexane1,2,5-triyl,

- a C_{5-12} -cycloalkene, preferably a C_{5-8} -cycloalkene, having respectively 3 free valences, such as 1-cyclopentene-3,4,5-triyl, 1-cyclohexene-3,4,5-triyl, 1-cyclohexene-3,4,6-triyl,

5

- a C_{6-20} -aromatic having respectively 3 free valences, such as benzene-1,2,3-triyl, benzene-1,3,4-triyl, naphthalene-1,2,8-triyl, naphthalene-1,3,8-triyl, anthracene-1,2,5-triyl,
- 10 C₇₋₂₀-alkylaromatic having respectively 3 free valences, such
 as benzyl-2,3-diyl, benzyl-3,4-diyl, 1-methylbenzene3,4,5-triyl,-1-phenylpropane-1,2,3-diyl, 2-phenylpropane1,2,3-triyl,
- 15 $-C_nH_{2n-1}-(NR^9-C_1H_{21})_p-NR^9-C_qH_{2q}-$, where R^9 , n, l, p and q are each as defined above, such as $-CH_2CH(-)-N(CH_3)CH_2CH_2N-(CH_3)CH_2CH_2-$,
- polyoxypolyalkylene of the type $-C_nH_{2n-1}-O-C_mH_{2m}-(O-C_1H_{21})_p-O-$ 20 $C_qH_{2q}-$, where n, m, l, p and q are each as defined above, such as $-CH_2CH(-)-(OCH_2CH_2)_3-O-CH_2CH_2CH_2-$, $-CH_2CH(-)-(OCH_2CH_2)_3-O-CH_2CH_2-$.

The radicals R⁵, R⁶, R⁷ and R⁸ may be substituted as indicated **25** above. The number of substituents is from 0 to 5, preferably from 0 to 3, especially 0, 1 or 2, depending on the type of radical. Suitable substituents include:

- halogen, such as fluorine, chlorine, bromine or iodine,
 preferably fluorine or chlorine,
 - cyano: -C≡N,
- C₁₋₃₀-alkoxy, preferably C₁₋₈-alkoxy, such as methoxy, ethoxy, n-propoxy, isopropoxy, n-butoxy, isobutoxy, sec-butoxy, tert-butoxy, n-pentoxy, isopentoxy, sec-pentoxy, neo-pentoxy, 1,2-dimethylpropoxy, n-hexoxy, isohexoxy, sec-hexoxy, n-heptoxy, isoheptoxy, n-octoxy, isooctoxy, particularly preferably C₁₋₄-alkoxy, such as methoxy, ethoxy, n-propoxy, isopropoxy, n-butoxy, isobutoxy, sec-butoxy and tert-butoxy,
 - C_{6-30} -aryloxy, such as phenoxy, 1-naphthoxy and 2-naphthoxy, preferably phenoxy,
- 45 C₂₋₃-alkenyloxy, such as vinyloxy, 1-propenyloxy,

- C_{2-20} -dialkylamino, preferably C_{2-12} -dialkylamino, particularly C2-8-dialkylamino, such as N, N-dimethylamino, N, N-diethylamino, N, N-dipropylamino, N, N-di(1-methylethyl)amino, N, N-dibutylamino, N, N-di(1-methylpropyl)amino, N, N-di-(2-methylpropyl)amino, N,N-di(1,1-dimethylethyl)amino, 5 N-ethyl-N-methylamino, N-methyl-N-propylamino, N-methyl-N-(1-methylethyl)amino, N-butyl-N-methylamino, N-methyl-N-(1-methylpropyl)amino, N-methyl-N-(2-methylpropyl)amino, N-(1,1-dimethylethyl)-N-methylamino, N-ethyl-N-propylamino, 10 N-ethyl-N-(1-methylethyl)amino, N-butyl-N-ethylamino, N-ethyl-N-(1-methylpropyl)amino, N-ethyl-N-(2-methylpropyl)amino, N-ethyl-N-(1,1-dimethylethyl)amino, N-(1-methylethyl)-N-propylamino, N-butyl-N-propylamino, N-(1-methylpropyl)-N-propylamino, N-(1-methylpropyl)-N-propylamino, N-(2-methylpropyl)-N-propylamino, N-(1,1-dimethylethyl)-15 N-propylamino, N-butyl-N-(1-methylethyl)amino, N-(1-methylethyl)-N-(1-methylpropyl)amino, N-(1-methylethyl)-N-(1-methylpropyl)amino, N-(1-methylethyl)-N-(2-methylpropyl)amino, N-(1,1-dimethylethyl)-N-(1-methylethyl)amino, N-butyl- N-(1-methyl-20 propyl)amino, N-butyl-N-(2-methylpropyl)amino, N-butyl-N-(1,1-dimethylethyl)amino, N-(1-methylpropyl)-N-(2-methylpropyl)amino, N-(1,1-dimethylethyl)-N-(1-methylpropyl)amino and N-(1,1-dimethylethyl)-N-(2-methyl-
- Nitriles useful for the purposes of the present invention include a multiplicity of aliphatic or aromatic α , β -unsaturated nitriles. Said α , β -unsaturated nitriles may be straight-chain or branched, 30 contain alicyclic or heterocyclic groups and bear halogen, cyano, alkoxy, aryloxy, alkenyloxy and/or dialkylamino groups as substituents.

propyl)amino, diallylamino, dicyclohexylamino.

The number of carbon atoms in said α , β -unsaturated nitriles is not critical; it is customary to use low molecular weight α , β -unsaturated nitriles having up to 40 carbon atoms.

Examples of α , β -unsaturated nitriles of the formula III are:

- 40 acrylonitrile, methacrylonitrile, crotononitrile, 2-pentenenitrile, β , β -dimethylacrylonitrile, β -phenylacrylonitrile. Preference is given to aliphatic α , β -unsaturated nitriles having up to 5 carbon atoms. Acrylonitrile is particularly preferred.
- 45 Useful alcohols for the purposes of the present invention include a multiplicity of aliphatic or aromatic, monohydric, dihydric or trihydric alcohols. The alcohols may be straight-chain or

branched, contain alicyclic or heterocyclic groups and bear halogen, cyano, alkoxy, aryloxy, alkenyloxy and/or dialkylamino groups as substituents. The reaction rate of tertiary alcohols is generally lower than that of primary or secondary alcohols. The $\mathbf{5}$ molar mass of the alcohols is up to 2.5×10^3 g/mol, for example.

Examples of alcohols of the formula IV are:

monools, e.g., aliphatic alkanols, such as methanol, ethanol,
10 n-propanol, isopropanol, n-butanol, isobutanol, tert-butanol,
n-pentanol, 2-methyl-2-butanol, n-hexanol, cyclopentanol,
cyclohexanol, 3,4-dimethylcyclohexanol, 2-ethylhexanol,
1-octanol, dodecanol, tridecanol, octadecanol, menthol, geraniol,
linalool, citronellol, stearyl alcohol, palmityl alcohol, coconut
15 alcohol, oleyl alcohol, fatty alcohols, allyl alcohol, methallyl
alcohol, 4-vinyloxy-1-butanol, propargyl alcohol, n-amyl alcohol,
1-buten-3-ol, 1-butyn-3-ol, 1-butyne-3-methyl-3-ol, 1-pentyne-

alcohol, 4-vinyloxy-1-butanol, propargyl alcohol, n-amyl alcohol 1-buten-3-ol, 1-butyn-3-ol, 1-butyne-3-methyl-3-ol, 1-pentyne-3-methyl-3-ol, 1-octyne-4-ethyl-3-ol, polyisobutyl alcohols, polypropyl alcohols, aromatic alcohols, such as phenol, cresols,

20 α- or β-naphthol, benzyl alcohol, cinnamyl alcohol, 4-tertbutylbenzyl alcohol, furfuryl alcohol, alkoxy- or aryloxyalcohols, such as 2-methoxyethanol, 2-ethoxyethanol, 2-propoxyethanol, 2-butoxyethanol, 2-phenoxyethanol, cyano alcohols, such as formaldehydecyanohydrin, β-cyanoethanol, amino alcohols, such

25 as dimethylaminoethanol, diethylaminoethanol, 1-dimethylamino-4-pentanol, 1-diethylamino-4-pentanol, β-morpholinoethanol, triethanolamine, or the polyether alcohols formed by addition of alkylene oxides such as ethylene oxide, propylene oxide or isobutylene oxide to alcohols, e.g., methanol, ethanol,

30 1-butanol, such as methylhexaglycol or butyltriglycol.

Preference is given to alkanemonools and alkoxyalkanemonools having from 1 to 12 carbon atoms, such as methanol, ethanol, 2-ethylhexanol, 2-methoxyethanol and benzyl alcohol.

Diols, e.g., alkanediols, such as ethylene glycol, 1,2-propylene glycol, 1,3-propylene glycol, 2,2-dimethyl-1,3-propanediol (neopentylglycol), 1,4-butanediol, 2,3-butanediol, 1,5-pentanediol, 1,6-hexanediol, 2,5-hexanediol, 2,2,4-trimethylpentane-

- 40 1,3-diol, 1,4-cyclohexanedimethanol, 2,2-bis[4-hydroxycyclo-hexyl]propane, di-ß-hydroxyethyl ether, 2-butyne-1,4-diol, 2-butene-1,4-diol, 3-hexyne-1,6-diol, 3-hexyne-2,5-diol, 2,5-dimethyl-3-hexyne-2,5-diol, oligo- or polyalkylene glycols, such as diethylene glycol, triethylene glycol, polyethylene
- 45 glycol, polypropylene glycol or polytetrahydrofuran, aromatic

alcohols, such as hydroquinone, or other diols, e.g., neopentylglycol monoester of hydroxypivalic acid.

Preference is given to ethylene glycol, diethylene glycol, 5 1,4-butanediol and polytetrahydrofuran.

Triols, e.g. alkanetriols, such as glycerol, trimethylolpropane, trimethylolethane, or the products formed by addition of alkylene oxides such as ethylene oxide or propylene oxide to triols.

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The 1,4-addition products of the formula II may be recovered from the effluents of the inventive reaction of α,β -unsaturated nitriles of the formula III with alcohols of the formula IV in a conventional manner, for example by fractional rectification or

- 15 crystallization. There is generally no need to remove or neutralize the catalyst of the formula I, for example with acids such as formic acid, acetic acid, 2-ethylhexanoic acid, hydrochloric acid, p-toluenesulfonic acid or phosphoric acid, first.
- 20 It has further been found that the effluents from the inventive reaction of α , β -unsaturated nitriles of the formula III with alcohols of the formula IV, which reaction effluents include the 1,4-addition products of the formula II, can be directly subjected in a second process step to a hydrogenation in the 25 presence of a hydrogenation catalyst to obtain γ -alkoxyamines of the formula V

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$$\begin{bmatrix} & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & \\ & & \\ & & \\ & & \\ & \\ & & \\ & & \\ & \\ & & \\ & \\ & & \\ & \\ & & \\ & & \\ & \\ & & \\ & \\$$

35 without there being any need for any prior costly removal or neutralization of the 1,4-addition catalyst of the formula I. Thus, the hydrogenation of the ß-alkoxynitriles II in the presence of a hydrogenation catalyst can be carried out in the presence of the diazabicycloalkenes I without there being any disadvantage associated with that.

The diazabicycloalkenes I are not hydrogenated under the hydrogenation conditions customary for the ß-alkoxynitriles II; if they are, for example, less volatile than the amine V, they end up in the bottom product of a rectification of the amine V

and may even be recovered from there to enable the catalyst to be recycled.

The catalyst for the hydrogenation of ß-alkoxynitriles II can be
5 any common hydrogenation catalyst for this reaction, for example
Raney nickel or Raney cobalt, nickel or cobalt fixed-bed
catalysts, nickel or cobalt on supports and supported noble metal
catalysts. The hydrogenation catalyst can be used in powder form,
as suspension or in the form of a shaped article, such as an
10 extrudate, tablet or ball.

The reaction conditions depend, inter alia, on the hydrogenation catalyst used and on the β -alkoxynitrile II used. In general, the hydrogenation of the β -alkoxynitriles II is carried out with

- 15 residence times of from 20 min to 100 h at from 50 to 250°C and from 3 to 35 MPa, optionally in the presence of an inert solvent, e.g., 1,4-dioxane, NMP, tetrahydrofuran, benzene, toluene, xylene, methanol, ethanol or butanol.
- 20 The hydrogenation may be carried out batchwise, for example in stirred autoclaves, or continuously, for example in tubular reactors.
- Suitable examples of the hydrogenation of the ß-alkoxynitriles II 25 may be found in, for example, Houben-Weyl, Methoden der organischen Chemie, Volume 11/1, pages 341 et seq., 4th edition (1957), US 5,196,589 or W. P. Utermohlen, J. Am. Chem. Soc. 67, 1505-6 (1945).
- 30 Particular preference is given to conducting the hydrogenation of ß-alkoxynitriles II that comprise diazabicycloalkenes I continuously with a residence time of from 30 min to 10 h and at from 70 to 200°C and at from 5 to 25 MPa without a solvent being present.

 $\ensuremath{\mathtt{B-Alkoxynitriles}}$ are important intermediates for the synthesis of active compounds and dyes.

 γ -Alkoxyamines are precursors for the formation of plastics, for 40 example polyurethanes, polyamides and epoxy resins of appreciable industrial significance.

Examples

35

45 The APHA color numbers were measured according to DIN-ISO 6271.

Inventive example 1: methanol

52.48 g of methanol (1.64 mol) and 0.52 g of DBU were introduced as initial charge and gradually admixed at 55°C with 80.56 g of 5 acrylonitrile (ACN) (1.52 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours.

23

The yield of 3-methoxypropionitrile as determined in the crude effluent by gas chromatography was 94%, the free acrylonitrile 10 content was found to be 0.7% by titration, and the color number of the crude effluent was 3 APHA.

Comparative example 1: methanol

15 Inventive example 1 was repeated with 0.52 g of a 50% strength aqueous solution of tetrakis(2-hydroxyethyl)ammonium hydroxide.

The yield of 3-methoxypropionitrile as determined in the crude effluent by gas chromatography was 94%, the free acrylonitrile 20 content was found to be 0.4% by titration, and the color number of the crude effluent was 37 APHA.

The temperature diagram depicted in Fig. 1 reveals that inventive example 1, utilizing DBU as catalyst, had a very uniform

25 temperature during the addition ($\Delta T_{max} = 15^{\circ}C$), whereas comparative example 1 had a temperature spike of 43°C shortly before completion of the ACN addition. The reaction involving tetrakis(2-hydroxyethyl)ammonium hydroxide as catalyst was very slow to start up, which constitutes a considerable safety risk on 30 an industrial scale.

Inventive example 2: diethylene glycol

89.88 g of diethylene glycol (0.85 mol) and 0.90 g of DBU were 35 introduced as initial charge and gradually admixed at 55°C with 80.56 g of acrylonitrile (1.52 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours.

The yield of biscyanoethylated diethylene glycol determined in 40 the crude effluent by gas chromatography was 85.0%, the level of monocyanoethylated diethylene glycol was 13.3%, the free acrylonitrile content was 0.77% by titration, and the color number of the crude effluent was < 10 APHA.

Comparative example 2: diethylene glycol

Inventive example 2 was repeated with 0.85 g of a 50% strength aqueous solution of tetrakis(2-hydroxyethyl)ammonium hydroxide.

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The yield of biscyanoethylated diethylene glycol determined in the crude effluent by gas chromatography was 82.5%, the level of monocyanoethylated diethylene glycol was 14.8%, the free acrylonitrile content was 1.35% by titration, and the color number of 10 the crude effluent was 187 APHA.

The temperature diagram depicted in Fig. 2 reveals that inventive example 2, utilizing DBU as catalyst, had a very uniform temperature during the addition ($\Delta T_{max} = 13^{\circ}C$), whereas

15 comparative example 2 had a temperature spike of 27°C shortly before completion of the ACN addition. The reaction involving tetrakis(2-hydroxyethyl)ammonium hydroxide was very slow to start up, which constitutes a considerable safety risk on an industrial scale.

20

Inventive example 3: diethylene glycol

85.24 g of diethylene glycol (0.80 mol) and 0.85 g of DBU were introduced as initial charge and gradually admixed at 55°C with 25 80.56 g of acrylonitrile (1.52 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours.

The yield of biscyanoethylated diethylene glycol determined in the crude effluent by gas chromatography was 90.7%, the level of 30 monocyanoethylated diethylene glycol was 7.8%, the free acrylonitrile content was 0.33% by titration.

Comparative example 3: diethylene glycol

35 Inventive example 3 was repeated with 0.90 g of a 50% strength aqueous solution of tetrakis(2-hydroxyethyl)ammonium hydroxide.

The yield of biscyanoethylated diethylene glycol determined in the crude effluent by gas chromatography was 90.0%, the level of 40 monocyanoethylated diethylene glycol was 8.9%, the free acrylonitrile content was 0.80% by titration.

Inventive example 4: benzyl alcohol

88.67 g of benzyl alcohol (0.82 mol) and 0.88 g of DBU were introduced as initial charge and gradually admixed at 55°C with 5 40.30 g of acrylonitrile (0.76 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours.

The yield of 3-benzyloxypropionitrile as determined in the crude effluent by gas chromatography was 87.9%, the free acrylonitrile 10 content was found to be 2.24% by titration, and the color number of the crude effluent was 3 APHA.

Comparative example 4: benzyl alcohol

15 152.2 g of benzyl alcohol (1.41 mol) and 0.71 ml of a 40% strength methanolic solution of triton B (benzyltrimethylammonium hydroxide) were introduced as initial charge and gradually admixed at 45°C with 61.3 g of acrylonitrile (1.16 mol) added at 5%/min. The mixture was subsequently reacted at 45°C for a further three hours. After the reaction had ended, the pH was adjusted to 6.4 with 1.2 ml of glacial acetic acid.

The yield of 3-benzyloxypropionitrile as determined in the crude effluent by gas chromatography was 83.1%, the free acrylonitrile 25 content was found to be 0.34% by titration, and the color number of the crude effluent was 90 APHA.

Inventive example 5: diethylene glycol

- 30 60.0 kg of diethylene glycol (566 mol) and 600 g of DBU were introduced as initial charge and gradually admixed at 55°C with 58.4 kg of acrylonitrile (1102 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours. The cyanoethylated diethylene glycol was then continuously hydro-
- 35 genated at 110°C over a cobalt catalyst, and the effluents were distilled and analyzed. 250 ml of catalyst were used and subjected at 20 MPa hydrogen pressure to 75 g h⁻¹ of biscyanoethyl diethylene glycol and 330 g h⁻¹ of NH₃. So the volume hourly space velocity over the catalyst was 0.3.

The yield of 4,7,10-trioxatridecane-1,13-diamine (TTD) was a constant 88% over a period of 14 days; the yield of 1,4,7-trioxa-undecan-11-amine was 8%, and that of other products and distillation residue 4%.

45

Comparative example 5: diethylene glycol

Inventive example 5 was repeated with 600 g of a 50% strength aqueous solution of tetrakis(2-hydroxyethyl)ammonium hydroxide.

The yield of 4,7,10-trioxatridecane-1,13-diamine (TTD) was a constant 75% over a period of 21 days; the yield of 1,4,7-trioxa-undecan-11-amine was 17%, and that of other products and distillation residue 8%.

Inventive example 6: diethylene glycol

100.00 g of diethylene glycol (0.94 mol) were introduced as initial charge together with each of 0.2, 0.4, 0.6, 0.8 and 1.0%

15 of DBN and gradually admixed at 55°C with 80.56 g of acrylonitrile (1.52 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours.

The yields of biscyanoethylated diethylene glycol (BCE-DG), of 20 monocyanoethylated diethylene glycol (MCE-DG) and of free acrylonitrile (ACN) determined in the crude effluent by gas chromatography are shown in Table 1.

Table 1

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| | % by weight of DBN | Yield of BCE-DG [%] | Yield of MCE-DG [%] | % ACN |
|----|--------------------|---------------------|---------------------|-------|
| | 0.2 | 73.4 | 21.8 | 4.0 |
| | 0.4 | 75.6 | 19.9 | 4.0 |
| | 0.6 | 77.0 | 18.2 | 3.4 |
| 30 | 0.8 | 78.7 | 17.1 | 2.9 |
| | 1.0 | 79.8 | 16.5 | 2.7 |

Fig. 3 shows by way of example the temperature diagram for the addition reaction involving 1% and 0.2% of DBN, similar curves being obtained with the other quantities as well.

Inventive example 7: methanol

48.5 kg of methanol (1515 mol) and 0.48% by weight of DBU were introduced as initial charge and gradually admixed at 55°C with 74.3 kg of acrylonitrile (1401 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours. The 3-methoxypropionitrile was then continuously hydrogenated at 110°C over a cobalt catalyst, and the effluents were distilled and analyzed. 250 ml of catalyst were used and subjected at 20 MPa hydrogen pressure to 75 ml·h⁻¹ of methoxypropionitrile and

500 ml \cdot h⁻¹ of NH $_3$. So the volume hourly space velocity over the catalyst was 0.3.

The yield of 3-methoxypropylamine determined following 5 distillative workup was a constant 95.9% over a period of 14 days.

Inventive example 8: methanol

- 10 48.5 kg of methanol (1515 mol) and 0.48% by weight of DBU were introduced as initial charge and gradually admixed at 55°C with 74.3 kg of acrylonitrile (1401 mol) added at 5%/min. The mixture was subsequently reacted at 55°C for a further two hours. The 3-methoxypropionitrile was then continuously hydrogenated at 110°C
- 15 over a cobalt catalyst, and the effluents were distilled and analyzed. 250 ml of catalyst were used and subjected at 20 MPa hydrogen pressure to 75 ml \cdot h⁻¹ of methoxypropionitrile and 500 ml \cdot h⁻¹ of NH₃. So the volume hourly space velocity over the catalyst was 0.3.

The yield of 3-methoxypropylamine determined following distillative workup was a constant 96.9% over a period of 14 days.

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We claim:

1. A process for preparing ß-alkoxynitriles by reacting α , ß-unsaturated nitriles with monohydric, dihydric or trihydric alcohols in the presence of basic catalysts at from -20 to +200°C, which comprises using a diazabicycloalkene catalyst of the formula I

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where from 1 to 4 hydrogen atoms may be independently replaced by the radicals R^1 to R^4 , in which case R^1 , R^2 , R^3 , R^4 are each C_{1-20} -alkyl, C_{6-20} -aryl or C_{7-20} -arylalkyl, and

n and m are each an integer from 1 to 6.

2. A process as claimed in claim 1, wherein the catalyst used is a diazabicycloalkene of the formula Ia

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(la),

where from 1 to 4 hydrogen atoms may be independently replaced by the radicals R^1 to R^4 , in which case R^1 , R^2 , R^3 , R^4 are each C_{1-20} -alkyl, C_{6-20} -aryl or C_{7-20} -arylalkyl, and

n is an integer from 1 to 3.

35

3. A process as claimed in claim 1, wherein the catalyst used is 1,5-diazabicyclo[4.3.0]non-5-ene (DBN), 1,5-diazabicyclo-[4.4.0]dec-5-ene (DBD) or 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU).

- 4. A process as claimed in claim 1, wherein the catalyst is used in an amount of from 0.05 to 5% by weight, based on the alcohol.
- 45 5. A process as claimed in claim 1, wherein the reaction is carried out at from 25 to 100° C.

- 6. A process for preparing γ -alkoxyamines by
 - a) reaction of α , β -unsaturated nitriles with monohydric, dihydric or trihydric alcohols in the presence of basic catalysts at from -20 to +200°C to form β -alkoxynitriles, and
 - b) subsequent hydrogenation of the β -alkoxynitriles in the presence of a hydrogenation catalyst,

which comprises using in the first step a diazabicycloalkene catalyst of the formula I as set forth in claim 1

where from 1 to 4 hydrogen atoms may be independently replaced by the radicals R^1 to R^4 , in which case R^1 , R^2 , R^3 , R^4 are each C_{1-20} -alkyl, C_{6-20} -aryl or C_{7-20} -arylalkyl, and

n and m are each an integer from 1 to 6, and effecting the hydrogenation in the second step in the presence of a hydrogenation catalyst and of the catalyst of the formula I.

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Preparation of ß-alkoxynitriles

Abstract

5

A process for preparing $\beta\text{-alkoxynitriles}$ by reacting $\alpha,\beta\text{-unsaturated}$ nitriles with monohydric, dihydric or trihydric alcohols in the presence of basic catalysts at from -20 to +200°C comprises using a diazabicycloalkene catalyst of the formula I

10

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where from 1 to 4 hydrogen atoms may be independently replaced by the radicals ${\bf R}^1$ to ${\bf R}^4$, in which case

20 R1, R2, R3, R4 are each C1-20-alkyl, C6-20-aryl or C7-20-arylalkyl, and

n and m are each an integer from 1 to 6.

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FIG.1

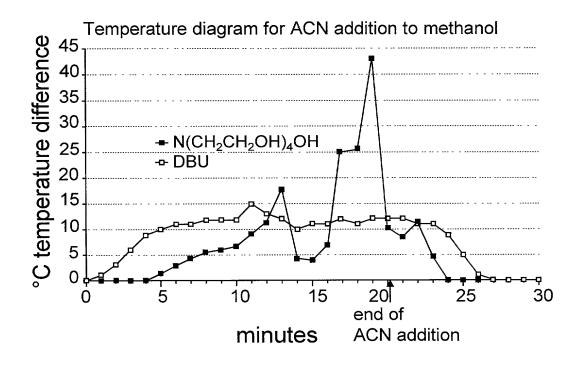


FIG.2

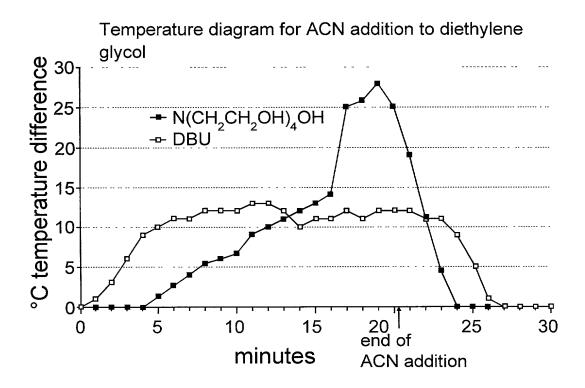
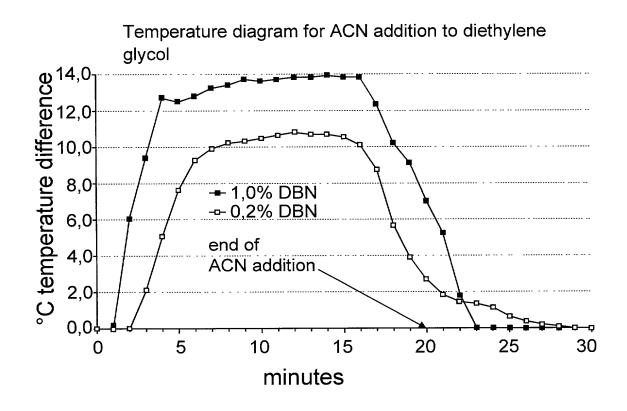


FIG.3



Declaration, Power of Attorney

Page 1 of 4

0050/048746

We (I), the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Preparation of B-alkoxynitriles

the specification of which

| [x] is attached hereto. | |
|---|--------|
| [] was filed on | as |
| Application Serial No. | |
| and amended on | |
| [] was filed as PCT international application | |
| Number | |
| on | |
| and was amended under PCT Article 19 | |
| (Co) | P 11 X |

We (I) hereby state that we (I) have reviewed and understand the contents of the above—identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)—(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

| Application No. | Country | Day/Month/Year | Priority Claimed | |
|-----------------|---------|-----------------|---------------------|-------|
| 19803515.2 | Germany | 30 January 1998 | [x] Yes | [] No |

| first paragraph of 35 U.S.C. § 112, | I acknowledge the duty to disclose int | ternational application in the manner provided be formation which is material to patentability as de prior application and the national or PCT Internat |
|-------------------------------------|--|---|
| Application Serial No. | Filing Date | Status (pending, patented, abandoned) |

And we (I) hereby appoint Messrs. HERBERT. B. KEIL, Registration Number 18,967; and RUSSEL E. WEINKAUF, Registration Number 18,495; the address of both being Messrs. Keil & Weinkauf, 1101 Connecticut Ave., N.W., Washington, D.C. 20036 (telephone 202–659–0100), our attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to sign the drawings, to receive the patent, and to transact all business in the Patent Office connected therewith.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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